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S&H Form: (2/01) DOCKET NO. 1761.1061

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Futoshi KOSUGI, et al.

Serial No: 10/506,355

Group Art Unit: 1764

Confirmation No. 3137

Filed: September 2, 2004 Examiner: Unassigned

For: METHOD OF AND DEVICE FOR LUBRICATING ROLLING BEARINGS

## SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the Assignee on the corrected Filing Receipt be corrected. The correct Assignee is **NTN CORPORATION**, **Osaka**, **JAPAN**, as is evidenced by the executed Assignment, attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

AAS & HALSEY LLP

Date: 🛶

79. <u>7 V/A</u>

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Registration No. 25,908

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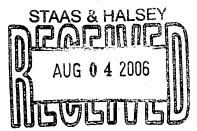
| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|----------------|----------|----------|----------|
| 10/506,355 | 09/02/2004                | 1764     | 920           | 1761.1061      | 16       | 19       | 3        |

**CONFIRMATION NO. 3137** 

CORRECTED FILING RECEIPT

\*OC000000019828704\*

21171 STAAS & HALSEY LLP SUITE 700 1201 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005



Date Mailed: 07/31/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Futoshi Kosugi, Kuwana-shi, JAPAN;
Masatsugu Mori, Kuwana-shi, JAPAN;
Keiichi Ueda, Kuwana-shi, JAPAN; — Assignment For Published Patent Application—
— NTN CORPORATION, Osaka, JAPAN—

Power of Attorney: The patent practitioners associated with Customer Number 21171.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/02447 03/03/2003

### Foreign Applications

JAPAN 2002-59349 03/05/2002 JAPAN 2002-234481 08/12/2002

If Required, Foreign Filing License Granted: 07/31/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/506,355

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Method of and device for lubricating rolling bearings

**Preliminary Class** 

508

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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# Assignment

## 譲渡証

Japanese Language Assignment

| (1-8)                            | ( ) == === (5)  |   |
|----------------------------------|---|---|
| (1)                              | 発明者の氏名を記入すること<br>Futoshi KOSUGI、  |   |
| (2)                              | Masatsugu MORI and  |   |
| (3)                              |   |   |
| (4)                              | Keiichi UEDA, all of MIE, JAPAN   |   |
| (5)                              |   |   |
| • •                              |   |   |
| (G)                              |   |   |
| (7)                              |   |   |
| (8)                              | <u> </u>  | · ·   |
| 各々<br>(\$1.00                    | の署名人に対して支払われた総額1 ドル<br>)および他の適切な有価約因を考慮して、各々の署名人  | In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, each undersigned agrees to assign, and hereby does assign, transfer and set over to   |
| (9)                              | Insert Name of Assignee   | cons margin a more and set over 10  |
| (9)                              | 観受人氏名を記入すること<br>NIEN CORPORATION  |   |
| ` .                              |   |   |
| (10)                             | Insert Address of Assignee<br>譲受人住所を記入すること  |   |
| (10)                             |   | ·   |
|                                  | 3-174 Kyomachibori L-chome, Nishi-  | ku, Osaka-shi: OSAKA 550-0003 JAPAN   |
| 、米国<br>仮出願、<br>発行出<br>再発行<br>アメリ | 受人と称する)に対して、譲渡に同意し、ここに譲渡し<br>法第35章第100条に定義されるごとく、この発明および、<br>非仮出願、分割出願、継続出願、差替え出願、及び早<br>願、および前配発明に関するすべての特許証、延長証、<br>証、再審査証を含む特許のための全ての出願において、<br>か合衆国のためのすべての権利、所有権、および利益を<br>の継承人、後継者、非譲渡者、および法定代理人に移転<br>譲渡し、 | successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, substitute, and reissue application(s), |
| (11)                             | Insert Identification such as Title, Case Number, or<br>Foreign Application Number<br>名称、事件番号、もしくは外国出願番号のような確<br>認事項を記入のこと  |   |
| (11)                             | Method of and Device for  | Lubricating Rolling Bearings  |
| (Attorney<br>弁護士整                | Docket No. )<br>理番号   |   |

前記署名人が下記の日付でアメリカ合衆国において特許出願を 履行するものであり、

Or

もしくは

- (12) Insert Date of Signing of Application 出願に署名する日付を記入のこと
- (12) or
- (13) Alternative Identification for filed applications 提出された出願のための代案確認事項
- U.S. application Serial Number 右記の米国出願整理番号で Filed:

上記日付において提出されたものである。

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STAAS & HALSEY ILP

事務所に付与されていることをここに承認する。

for which the undersigned has (have) executed an application for patent in the United States of America on even date herewith

- Each undersigned agrees to execute all papers necessary in connection with any application and any continuing, divisional or reissue applications for the invention, and any patent(s) issuing thereon, and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application or continuation or division thereof, or any patent or reissue application based thereon, for the invention, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.
- 5) Each undersigned authorizes and requests the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he has full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreements in conflict herewith, and agrees that this assignment is binding on him and his heirs, successors, assigns and legal representatives.
- 6) Each undersigned hereby grants the firm of STAAS & HALSEY LLP the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

| が履行                | される。   | 付をもってこの署名   | In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).   |     |  |
|--------------------|--|---|--|-----|--|
| Date<br>日付         | August 12, 2004  | Inventor Signature<br>発明者署名                       | F. Kozugi (SEAI  | , ) |  |
| Date<br>日付         | August 12, 2004  | Inventor Signature<br>発明者署名                       | m. mori (SEAL  | )   |  |
| Date<br>日付<br>Date | August 12, 2004  | Inventor Signature<br>発明者署名<br>Inventor Signature | <u>K. Vedα</u> (SEAL FI) (SEAL   | )   |  |
| 日付<br>Date<br>日付   |  | 発明者署名 Inventor Signature 公明者聚名                    | fi<br>(SEAL  | )   |  |
| この譲渡<br>の目前で       | 証は(a)アメリカ合衆国内で署名され、(b)アメリカ合衆国外で署名される署名されるべきである。(a)もしくは記に署名する少なくとも二人の証人である。 | 発明者署名 にる際には公証人 の際には米国領事                           | This assignment should preferably be signed before: (a Notary Public if within the U.S.A. (b) a U.S. Consul if outside U.S.A. If neither, then it should be signed before at least t witnesses who also sign here: |     |  |
| Date _<br>日付       |  | Witness<br>証人                                     |  |     |  |
| Date<br>日付         |  | Witness   |  |     |  |

, t.

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